



## Dopamine dependency of cognitive switching and response repetition effects in Parkinson's patients

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### Abstract

A group of people with Parkinson's disease and a group of matched controls were tested on a task involving a switch between perceptual dimensions. Patients were tested both 'on' and 'off' their normal medication cycles. Stimuli appeared in pairs for each trial, with each stimulus consisting of a color and a shape. One dimension of color and one of shape were mapped to each of two response keys. A cue was presented concurrently with each stimulus to indicate whether to respond on the basis of color or shape, following procedures developed by Hayes et al. [Hayes, A.E., Davidson, M.C., Keele, S.W., & Rafal, R.D. (1998). Toward a functional analysis of the basal ganglia. *Journal of Cognitive Neuroscience*, 10, 178–198]. Replicating previous literature, abnormally large switch costs were observed in patients who were off their normal medication cycles. A novel finding was that patients in the 'on' state demonstrated a slight reversal of switch costs. Also novel, reaction time (RT) costs associated with switching between response keys, and interactions between response switching and task switching were influenced predominantly by on–off dopamine manipulations. It is concluded that abnormal task switching costs and response repetition effects likely reflect impairments of activation and inhibition, and both effects are dopamine-dependent.

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**Keywords:** Parkinson's disease; Cognitive switching; Response repetition; Dopamine

### 1. Introduction

The basal ganglia are a subcortical complex of nuclei through which parallel circuits pass in a segregated fashion on their way from and back to the cortex via nuclei of the thalamus (Alexander, DeLong, & Strick, 1986; Middleton & Strick, 2000). These circuits emanate from sensorimotor, prefrontal, temporal, parietal, cingulate, limbic, and paralimbic areas (Parent, 1990), and therefore involve both motor and non-motor regions of the brain. PD results from the degeneration of dopaminergic neurons in the substantia nigra pars compacta and a consequent loss of dopaminergic innervation of the basal ganglia (Hornykiewicz, 1973). This suggests that behaviors that rely on the integrity of basal ganglia circuitry

are dopamine-dependent, as has been demonstrated for many of the cognitive and motor symptoms of PD.

#### 1.1. Cognitive sequelae of Parkinson's disease

Although once regarded as a motor structure, given motor symptoms are most readily apparent in Parkinson's disease, recent attention has turned to possible cognitive functions of the basal ganglia. Switching from one component to the next in a movement sequence is one example of a deficit first shown in animals with dopamine depletion. For example, an early study by Cools (1980) found that the level of dopamine affected the change from one swimming sequence to another in rats attempting to escape from a tank of water. PD patients also have demonstrated impairments in switching between movements, such as that required in a complex motor sequence (Benecke, Rothwell, Dick, Day, & Marsden,

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1987b; Cools, van dem Bercken, Sahakian, & Robbins, 1984; Harrington & Haaland, 1991; Hayes, Davidson, Keele, & Rafal, 1998; Inzelberg et al., 1996, 2001; Robertson & Flowers, 1990; Roy, Saint-Cyr, Taylor, & Lang, 1993). A motor sequence might be conceptualized as a series of motor programs used to generate simple movements, such as reaching and grasping an object. The series may or may not involve a switch between different motor programs (Benecke, Rothwell, Dick, Day, & Marsden, 1987a). For example, bending the elbow after squeezing the hand would require a switch in motor programs but bending the elbow twice consecutively does not involve a switch in the program. Some evidence demonstrating both cognitive and motor switching deficits in PD patients (Cools et al., 1984) is consistent with the hypothesis that both are related to the same basic impairment, although other findings have dissociated cognitive and motor slowing in PD (Rafal, Posner, Walker, & Friedrich, 1984). This raises the question of whether deficits associated with the disease can be characterized as emanating from the same basic impairment in function.

### 1.2. *Switching as an executive function*

Research examining the role of basal ganglia operations in executive functions has gone on for some time. Moreover, some of the deficits found in PD patients appear to overlap with those demonstrated in patients with frontal lobe damage (Lange et al., 1992). Executive functions are multifaceted processes necessary for planning and executing strategies in response to changes in the environment. The Wisconsin Card Sorting Test (WCST) assesses some aspects of executive function, although performance on this task is also dependent upon other processes such as memory. The task requires a participant to figure out which strategy to use in the presence of competing strategies and then change to a different strategy when necessary (Nelson, 1976). Participants are presented with cards that contain images of geometric shapes of different dimensions (shape, color, and number of objects). Patients must sort the cards based on the correct dimension, which the patient learns from feedback given by the examiner. After 10 correct card-sorting trials, the examiner then changes the rule for sorting. For example, the scheme might change from sorting based on color to sorting based on shape. The patient has to use the error feedback from the examiner to figure out the new dimension and switch sorting strategies. PD patients have difficulty with the WCST for a number of different, but perhaps related reasons, including difficulty abstracting the sorting rule, working memory problems, and inability to filter out the irrelevant rules (Bowen, Kamienny, Burns, & Yahr, 1975; Brown & Marsden, 1988).

Based on the seminal work of Jersild (1927), a number of researchers have investigated properties of executive control in healthy adults on tasks that require a switch between different task sets or instructional cues (Allport, Styles, & Hsieh, 1994; Rogers & Monsell, 1995; Wylie & Allport, 2000). The cost of a task switch on consecutive trials, which is gener-

ally reflected in measures of reaction time (RT), is compared to similar measures on consecutive trials in which no switch is required. Some researchers have found that switch costs tend to occur only when the involved stimuli are compatible with more than one task (Jersild, 1927; Spector & Biederman, 1976). This might also apply for tasks using bivalent stimuli, in which each response key is mapped on the basis of two stimulus dimensions (e.g., shape and color) rather than only one. By this view, control processes are necessary when discriminating on the basis of which action should be executed in response to a stimulus that might induce activation to more than one relevant task (Meiran, 2000).

Some studies have used predictable sequences of switches (Rogers & Monsell, 1995), eliminating the necessity to present cues indicating the relevant dimension on each trial. However, if an upcoming task switch is predictable, there might also be differences in the extent to which the task configuration is prepared prior to responding (Rogers & Monsell, 1996). Thus, it is important to either manipulate the amount of response readiness on a particular task, or to cue the different tasks randomly rather than in a specified order (Meiran, 1996). One method involves presenting a cue on each trial to indicate the relevant task (or dimension) to respond to on that trial. If the cues on two successive trials are the same, then no switch is necessary. Conversely, if the two cues are different, then a switch is necessary between the first and second trials of the pair (Hayes et al., 1998; Meiran, 1996). This method, however, often confounds the switch in successive cues with the switch in operations required for the two different task sets. It might therefore be additionally important to assess switch costs when no change in cue is presented, but when the switch involves only a change from one response key to the other.

An interesting finding that has emerged from studies on healthy control subjects, is that within a series of trials of the same task, RT tends to be faster when the responses on two consecutive trials are the same, compared to when they are different, an effect referred to as response repetition. However, the magnitude of this response repetition effect tends to reduce when there is a task switch (Rogers & Monsell, 1995; Schuch & Koch, 2003). Filoteo, Rilling, and Strayer (2002) examined negative priming in healthy controls and PD patients (on their normal medication: ‘on’ state). Those researchers employed a task in which letter arrays appeared in specific spatial locations as prime trials followed by probe trials where distractor letters in the prime either matched or mismatched the target letter in the probe. Although the study demonstrated abnormal negative priming effects in the PD patients, which could be interpreted as a lack of normal inhibition of responding to distracting stimuli, the response repetition costs were not reliably different between the PD and control groups. Together, this pattern of findings led to the suggestion that the neurocognitive mechanisms involved in response repetition effects might be distinct from those involved in negative priming (Filoteo et al., 2002). Other recent studies have demonstrated deficits in both activation and

139 inhibition of responses in PD patients (Franz & Miller, 2002)  
140 and patients with Huntington's disease (Aron et al., 2003a),  
141 which leaves open the possibility that problems in activation  
142 and/or inhibition associated with basal ganglia dysfunction  
143 might translate into some of the deficits observed on cognitive  
144 tasks such as task switching and response repetition.

### 145 1.3. *The influence of dopamine*

146 A number of studies have examined cognitive perfor-  
147 mance of PD patients when on their normal medication  
148 (e.g. Cools et al., 1984; Cools, Barker, Sahakian, & Rob-  
149 bins, 2001b; Flowers & Robertson, 1985; Gauntlett-Gilbert,  
150 Roberts, & Brown, 1999; Richards, Cote, & Stern, 1993;  
151 Rogers et al., 1998). In addition, comparing and contrasting  
152 results of testing off and on medication establishes which  
153 of the deficits is dopamine dependent. There have been three  
154 studies reporting a significant alleviation of switching deficits  
155 in PD following L-dopa administration (Cools, Barker,  
156 Sahakian, & Robbins, 2001a; Cools, Barker, Sahakian, &  
157 Robbins, 2003; Hayes et al., 1998). These cognitive opera-  
158 tions most likely rely on the integrity of striatal-dorsolateral  
159 prefrontal cortex circuits (Brass et al., 2003; Cools et al.,  
160 2001a, 2001b, 2003). However, contrasting effects on opera-  
161 tions mediated by ventral frontal-striatal circuitry have been  
162 reported in PD patients following L-dopa administration, in-  
163 cluding impairments in impulsivity control (Cools et al.,  
164 2001a, 2001b, 2003). These effects are similar to those seen  
165 in non-medicated patients with first-episode Schizophrenia  
166 (Hutton et al., 2002). Determining the properties of cognitive  
167 tasks that are influenced either positively or negatively with  
168 administration of L-dopa provides a very valuable method to  
169 further define the operations of the basal ganglia circuitry, as  
170 well as the influence of dopamine innervation.

### 171 1.4. *The present experiment*

172 The present study sought to further investigate task-  
173 switching operations in PD patients, both on and off  
174 dopamine medication. The task was similar to one em-  
175 ployed by Hayes et al. (1998). Those researchers employed  
176 an adapted version of the WCST using reaction time (RT)  
177 as a primary measure of switch costs. In their first experi-  
178 ment, one response key was associated with a color and a  
179 shape, and another response key was associated with a dif-  
180 ferent color and shape. A neutral color and a neutral shape  
181 were also used, and neither was associated with a response  
182 key. A stimulus (a colored shape) was presented together with  
183 a word cue that indicated to subjects whether to respond on  
184 that trial to color or to shape. An elegant feature of the design  
185 was the sequential presentation of stimuli. The second of two  
186 consecutive stimulus presentations could either cue the same  
187 dimension as that cued on the first stimulus, or the second  
188 stimulus could cue the dimension that was not cued on the  
189 first stimulus. Thus, subjects would either have to maintain  
190 the same cognitive rule on consecutive trials (respond to color

or respond to shape), or the rule would switch from color to  
191 shape, or vice versa. Hayes et al. were primarily interested in  
192 the differences in RT between the switch and no switch tri-  
193 als, as assessed by responses to the second of the two stimuli  
194 in each paired trial. They found that switch time was longer  
195 in the PD group compared to the control group. When fur-  
196 ther dividing the PD participants on the basis of their motor  
197 symptoms into three groups of hypokinetic, unimpaired, and  
198 hyperkinetic, Hayes et al. found that the largest switching cost  
199 occurred in the hypokinetic group. Although this latter find-  
200 ing does not provide a direct correlation between cognitive  
201 switching and motor symptoms, it implies that such a rela-  
202 tionship might exist. Using their color-shape task, Hayes et  
203 al. were also able to perform a within-subjects test using 'on'  
204 versus 'off' medication states. They found that the switching  
205 costs were larger when patients were in their off states, in-  
206 dicating that dopamine plays a role in the processes utilized  
207 for cognitive switching between perceptual dimensions.  
208

209 In another experiment, Hayes et al. examined switching  
210 time using a motor sequencing task. This task was designed  
211 so that a letter A or B was associated with a unique sequence  
212 of three keys (either 1–2–3 or 1–3–2). Subjects were pre-  
213 sented with pairs of letters that either indicated they were to  
214 perform the same sequence twice (AA or BB), or to perform  
215 one sequence and then switch to the other (AB or BA). A  
216 clever aspect of their design was that both sequences A and  
217 B began with key 1 as the initial element. Therefore, any  
218 differences in RT due to switching between sequences could  
219 not be due to a motor component associated with striking a  
220 particular key. Again, PD patients demonstrated significantly  
221 longer RTs to the initial element in the switch trials compared  
222 to no switch trials. An 'on' versus 'off' within-subjects  
223 comparison was also performed using this task. Only six patients  
224 were tested in the off state, and although the pattern of data  
225 was in the expected direction, the critical interaction between  
226 medication level and switching cost was not significant.

227 The present study was an attempt to (1) extend the find-  
228 ings of Hayes et al. (1998) to a larger group of subjects, (2)  
229 examine switching on different levels of task sets, including  
230 perceptually-cued dimensions and between simple  
231 symbolically-coded response keys (response repetition ef-  
232 fects), and (3) examine on-off dopamine medication treat-  
233 ment comparisons on switching costs as well as on response  
234 repetitions. To accomplish these objectives, we employed the  
235 same color-shape task as by Hayes et al. (1998). A novel as-  
236 pect of our experiment was that we used the same task to  
237 evaluate both cognitive switching of the type assessed by  
238 Hayes et al., and to examine response repetition effects. Our  
239 prediction was that both cognitive task-switching deficits and  
240 abnormal response repetition effects would be demonstrated  
241 in the patients, particularly when in the off-medication state.  
242 This prediction was based on the hypothesis that both forms  
243 of impairment are related to more general deficits in acti-  
244 vation and inhibition processes (Franz & Miller, 2002), and  
245 these processes are dopamine-dependent. Cognitive switch-  
246 ing was evaluated as the cost in RT to the second stimulus of a

247 pair when a switch in dimension was required on consecutive  
 248 stimuli (switch from color to shape or vice versa) compared  
 249 to when no switch was required. Response switching was  
 250 assessed by a comparison of trials that required consecutive  
 251 responses on different keys (e.g., hitting the key correspond-  
 252 ing to one color and then the key corresponding to the other  
 253 color) to trials that required consecutive responses on the  
 254 same key (e.g., hitting the same key twice in succession).  
 255 Extended practice was administered prior to test in an effort  
 256 to eliminate transient switching costs that might be further  
 257 reduced with practice.

258 **2. Methods**

259 *2.1. Participants*

260 Fifteen participants with a diagnosis of idiopathic Parkin-  
 261 son’s disease, who were candidates for surgical treatment  
 262 of their Parkinsonian symptoms, were included in the test  
 263 phase. Potential treatments included pallidotomy or place-  
 264 ment of deep brain stimulators in the internal segment of  
 265 the globus pallidus (GPi) or subthalamic nucleus (STN). Pa-  
 266 tients with previous surgeries or significant dementia were  
 267 excluded. Motor symptoms were assessed with the Hoehn  
 268 and Yahr scale (Hoehn & Yahr, 1967) and according to the  
 269 Unified Parkinsonism Rating Scale (Stern, 1988) by a neurolog-  
 270 ist and nurse practitioner. Table 1 shows Hoehn and Yahr,  
 271 UPDRS, and disease duration of individual patients. All eval-  
 272 uations were conducted preoperatively and both on and off  
 273 medication. The mean age for the PD group was 60.3 years.  
 274 Mean education level was 14.1 years for this group. Medica-  
 275 tion protocols for all patients tested are shown in Table 2.

276 All but two control subjects were partners or caregivers  
 277 of the patients. The remaining controls were recruited from  
 278 the Davis, California community. For the control group, the  
 279 mean age was 60.7 years. Their mean education level was  
 280 15.1 years.

Table 1

Patients’ motor scores and disease duration (unified Parkinson’s Disease Rating Scores used to compute motor scores include items #18–31)

Patient	Hoehn and Yahr	Disease duration	Total motor UPDRS
1	3	5	29
2	2.5	16	20
3	2.5	18	31
4	2	3	11
5	3	10	20
6	2.5	10	16
7	Not available	8	29
8	5	19	40
9	2.5	17	17
10	2.5	17	17
11	2.5	4	20
12	2.5	7	21
13	3	14	45
14	2.5	7	30
15	1	5	19

281 All patients and controls reported themselves to be right  
 282 hand dominant and all were tested using the right hand,  
 283 which for the patients, was the hand contralateral to their  
 284 planned surgical target site. Typically, the first surgery is  
 285 performed on the dominant hemisphere, but this is not al-  
 286 ways the case. However, in the present study, we included  
 287 only those patients in whom the first surgical procedure was  
 288 performed on the side contralateral to the dominant hand.  
 289 Prior to any testing, informed consent was obtained from  
 290 all participants. The behavioral protocol was approved by  
 291 the Institutional Review Boards of The University of Cal-  
 292 ifornia Davis and The Kaiser Permanente Research Founda-  
 293 tion. For performance in the “on” state, there were no  
 294 changes to patients’ normal medication cycles and they were  
 295 considered “on” as determined by the attending neurologist  
 296 (VLW). The “off” measurements for the PD group were  
 297 taken after patients had been off their medication for at least  
 298 12 h.

Table 2  
Patient medication protocols

Patient	Medication	Dosages (per day)
1	Sinemet CR 50/200, Sinemet 25/250	1 (4×), 1/4 (2×)
2	Sinemet CR 50/200, Sinemet 25/100, Mirapex 1.5 mg	1/2 (4×), 1/2 (4×), 1 (4×)
3	Sinemet CR 25/100, Sinemet 25/100, Mirapex 0.25 mg	All 5×
4	Amantadine 100 mg, Permex 0.25 mg	2×, 3×
5	Sinemet CR 50/200, Sinemet 25/100, Amantadine 100 mg	1.5 (1×), 2×, 2×
6	Sinemet 25/100, Mirapex 0.5 mg, Artane 2 mg, Amantadine 100 mg	1 (1×) and 1/2 (4×), 1 (1×) and 1/2 (4×), 1 (1×) and 1/2 (2×), 3×
7	Sinemet CR 50/200, Sinemet 25/100, Requip, Artane 1 mg, Levodopa	1×, 6×, 16 mg, 2×, 800 mg
8	Sinemet CR 25/100, Sinemet 25/100, Mirapex 0.75 mg	All 5×
9	Sinemet 25/100	4×
10	Sinemet 25/100, Mirapex 1 mg	2×, 1/2 (2×)
11	Sinemet CR 25/100, Sinemet 25/100	1/2 (3×), 1 (2×) and 1/2 (2×)
12	Artane 1 mg, Requip 3 mg, Selegeline 5 mg	2×, 4×, 3× weekly
13	Sinemet 25/100, Eldepryl 5 mg, Sinemet CR 50/100, Mirapex 1.25 mg	1.5 (2×) and 2 (3×), 2×, 5×, 3 (3×)
14	Sinemet 25/100, Requip 5 mg	4×, 4×
15	Eldepryl 5 mg	1×



2.2. Experimental task

Participants were seated in front of a computer screen with their right and left hands resting at the edge of the computer keyboard. The index finger of the responding hand was centered over the two adjacent response keys and the index finger of the non-responding hand was over the “ready” key. The return key was used for the “ready” key, and it was labeled with the word “ready”. Each response key was labeled with both a color and a shape. One key had a black square positioned in its upper left corner and the lower right hand corner of the key was colored yellow. The other key had a black circle in its upper left corner and the lower right hand corner of the key was colored pink. These symbols and colors indicated the stimulus shape and color associated with each response key.

The stimuli were approximately centered on a computer screen and consisted of a 15 cm × 15 cm square or a 15 cm diameter circle. The colors of the stimuli were either pink or yellow and the background was white. The word cue “color” or “shape” printed in black (2 cm in height, 5 cm length) appeared just above the stimulus presentation. RT and error were recorded using Presentation, a software package designed by Neurobehavioral Systems, San Francisco, CA.

2.3. Design and procedure

There were four distinct stimuli (pink square, pink circle, yellow square, yellow circle). These stimuli were presented on the first and second stimulus positions in a completely crossed fashion to produce 16 possible sets of paired stimuli. Each of these pairs was presented with all possible combinations of cues (color–color, color–shape, shape–shape, shape–color), making 64 trial types. The trial types could be classified depending on whether there was no switch (Fig. 1A), a response switch only (switch from one response key to the other: Fig. 1B), a cognitive switch only (switch between cues but no switch between response keys: Fig. 1C), or a switch in both the response key and the cue (Fig. 1D). Fig. 1E shows the sequence of events within each trial. The number of switch and no switch trials was equal and comparable to the number of switch and no switch trials tested by Hayes et al. (1998), although our experiment differed in that Hayes et al. also included filtering control trials (and we did not), and we evaluated trials with both cue switches and response switches (and Hayes et al. did not). Consistent with Hayes et al., our participants had extended practice prior to performing the test trials, with the aim of minimizing error. All participants in the present study under-

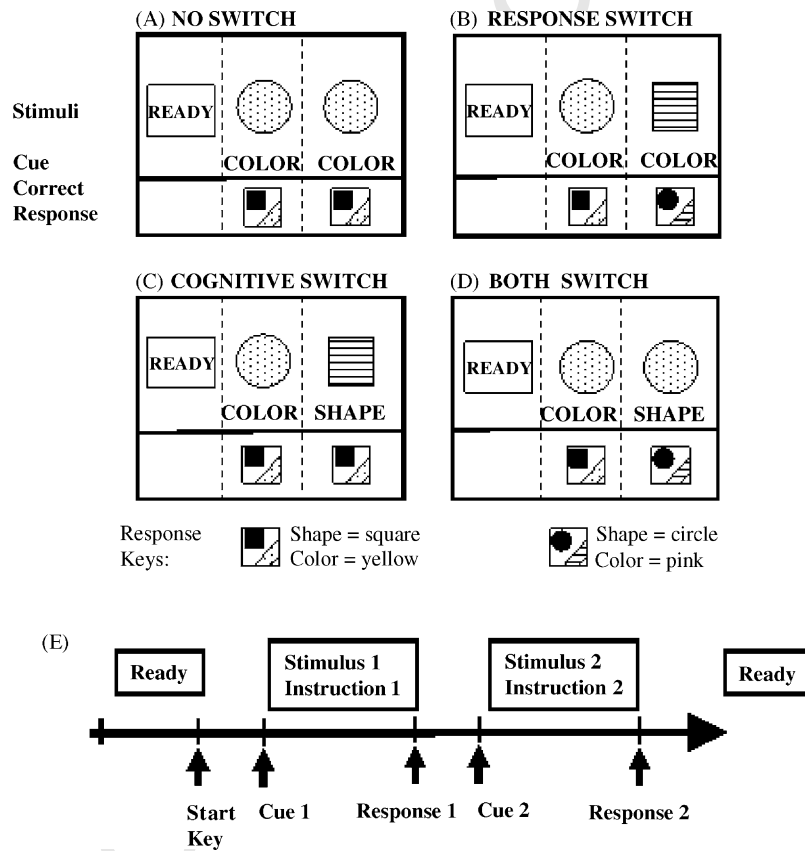


Fig. 1. Outline of task with the four different trial types, and indication of the order of events within each paired trial. Note that the stimulus and corresponding cue for Task 1 were presented when the start key (“ready”) was registered, and the stimulus and corresponding cue for Task 2 were presented when the first response was registered; thus, the gaps in (E) are exaggerated so that all events are clearly depicted (see text).

345 went a thorough instructional session in which each type  
346 of trial was demonstrated, and the appropriate response was  
347 indicated.

348 Participants were instructed to respond as quickly and ac-  
349 curately as possible according to the dimension of the stimu-  
350 lus indicated by the accompanying cue. As stated above, they  
351 were first given extensive practice to learn to associate the in-  
352 struction and the appropriate color or shape with the proper  
353 key, and the labels remained on the keys for the duration of  
354 the testing session.

355 A trial began with the participant pressing the key marked  
356 “ready” in response to the “ready” signal. After the ready key  
357 was pressed, the first stimulus appeared immediately. The  
358 stimulus remained on the screen until a response key was  
359 pressed or until 3 s elapsed, whichever came first. As soon as  
360 the first response key was registered, the first stimulus and  
361 cue were replaced with the second stimulus and correspond-  
362 ing cue. After responding to the second stimulus, the word  
363 “ready” appeared on the screen to signal the beginning of the  
364 next trial.

365 Practice sessions ended when the participant produced 10  
366 consecutive trials without error. An error was logged when  
367 an incorrect response was produced on either the first or sec-  
368 ond stimulus. If the error criterion was not satisfied by the  
369 time two blocks of trials were administered (128 trials), the  
370 participant was not included in the test phase. This criterion  
371 resulted in a clear division between participants who could  
372 and could not perform the task. The 15 participants in the  
373 PD group who were included in the test phase came from  
374 an original group of 22 in total. The seven PD participants  
375 who were eliminated from the analysis included four who  
376 did not satisfy the error criterion and therefore were not in-  
377 cluded in the test phase, and three who performed the task  
378 with the non-dominant hand. Data from the three patients  
379 who performed with the non-dominant hand did not differ in  
380 any obvious ways from data from participants who used the  
381 dominant hand (although this was a small number of partici-  
382 pants to compare).<sup>1</sup> There were 19 control participants tested  
383 in total, and of the four not included in the analysis, three did  
384 not provide enough error-free trials and therefore did not pro-  
385 ceed to the test phase, and one used his non-dominant hand.  
386 Data from the 15 participants in each group, all of whom  
387 performed the task with the dominant hand, were included  
388 in the analyses that are reported herein. Error analyses of the  
389 eliminated participants did not reveal any patterns across trial  
390 types that would be additionally informative. Error rates on  
391 the test trials ranged from 4 to 10% across individuals, with  
392 an average of approximately 8% for each group [between-  
393 group test:  $F(1, 28) < 1.00$ ]. Errors were not differentiated on  
394 the basis of trial type,  $F(3, 84) = 1.21, p = .312$ , nor was the

group  $\times$  trial type interaction significant,  $F(3, 84) < 1.00$ . Er-  
ror data will not be discussed further.

#### 2.4. Data reduction and statistical methods

398 As by Hayes et al. (1998), RT for the first stimulus of  
399 the pair was not important and was therefore not analyzed  
400 beyond our initial assessments ascertaining that all values  
401 were reasonable. Note that the type of stimulus was equally  
402 probable at time 1 (RT1) and time 2 (RT2), so effectively  
403 these two trial types were the same except for the “ready”  
404 signal that preceded the stimulus at time 1. We therefore view  
405 findings from RT2 as representative of switching behavior,  
406 as did Hayes et al., which is the primary focus of this study.  
407 The dependent variable was the median reaction time to the  
408 second stimulus of the pair.

409 Four primary types of analyses were performed. The first  
410 analysis employed separate mixed effects ANOVAs with  
411 the within-subjects factor of trial type (no switch, cog-  
412 nitive switch, response switch, and both switch) and the  
413 between-subjects variable of group (patients versus controls).  
414 These between group analyses were performed both using  
415 the Parkinson’s ‘on’ group versus controls, and the Parkin-  
416 son’s ‘off’ group versus controls to assess primary effects  
417 of switching in each group comparison. Data were also an-  
418 alyzed using a  $2 \times 2$  factorial of switch type (presence or  
419 absence of response switch  $\times$  presence or absence of cog-  
420 nitive switch) to examine the interaction of switch type and re-  
421 sponse repetition more specifically. Simple effects ANOVAs  
422 were performed for each group on each switch type separ-  
423 ately to assess the prediction that switch trials would be  
424 slower than non-switch trials. A final set of analyses consisted  
425 of within-subjects ANOVAs on the factors trial type  $\times$  drug  
426 (on versus off), performed only on the Parkinson’s group  
427 (serving as their own controls). A significance value of .05  
428 was adopted, and those effects that were marginally signifi-  
429 cant ( $.05 < p < .10$ ) are also reported and considered seriously  
430 given the sample size. Where violations of sphericity oc-  
431 curred, Greenhouse–Geisser corrections were applied.

### 3. Results

432 The averaged median RT to the second stimulus is shown  
433 for each condition in Table 3. Switching cost, or the percent  
434 increase in reaction time associated with the switching con-  
435 dition, is also shown in Table 3.

#### 3.1. PD patients versus control analyses

437 As can be seen from the data in Fig. 2 and Table 3, PD  
438 patients in the ‘on’ state were not slower to respond than con-  
439 trol subjects overall, in fact, they were slightly faster although  
440 these differences were not reliable,  $F(1, 28) < 1.00$ . For both  
441 the PD group in the ‘on’ state and the control subjects com-  
442 bined, there was a cost on cognitive switch trials compared  
443

<sup>1</sup> Note that when we analyzed the complete set of data (including dominant and non-dominant responding hands), the reported effects became slightly larger. However, the present paper reports effects for only the 15 participants in each group who performed the task with the dominant hand, and a direct test of dominance issues will be saved for a later report.

Table 3  
Cognitive and response switching costs for controls and PD patients

Group	No switch (ms)	Cognitive switch (ms)	Cog switch cost (%)	Response switch (ms)	Response switch cost (%)	Both switch (ms)	Both switch cost (%)
Controls	1417 (124)	1578 (145)	11.4	1446 (97)	2.0	1514 (82)	6.8
PD patients off	1436 (124)	1761 (145)	22.7	1738 (97)	21.1	1693 (100)	17.9
PD patients on	1498 (132)	1518 (116)	1.3	1614 (85)	7.7	1564 (72)	4.4

Times listed are averaged median reaction times to the second stimulus ( $n = 15$  PD patients and 15 controls). Switching cost is the percent increase in reaction time associated with each respective switch condition. Standard errors are shown in parenthesis.

444 to no switch trials,  $F(1, 28) = 5.62, p = .025$ . Furthermore, the  
 445 data presented in Table 3 and Fig. 2 suggest that the PD group  
 446 in the ‘on’ state actually outperformed the control group on  
 447 the cognitive switch task, particularly on same response tri-  
 448 als. This interaction of cognitive switch and group was nearly  
 449 significant,  $F(1, 28) = 3.58, p = .07$ . In contrast to the effects

on cognitive switching, response switch trials were not reli-  
 ably different from the no switch trials for either the controls  
 or the PD-on group, nor did the cognitive switch  $\times$  response  
 switch interaction reach statistical significance for the two  
 groups combined ( $p > .05$ ).

The main effect of trial type was highly significant in the  
 analysis of Parkinson’s patients in the ‘off’ state compared  
 to control subjects,  $F(3, 84) = 3.90, p = .01$ . Additional anal-  
 yses revealed a highly significant difference between the no  
 switch trials and the cognitive switch trials across the two  
 groups combined,  $F(1, 28) = 9.13, p = .005$ . However, this  
 effect reached statistical levels of significance for the PD  
 group alone, but did not reach significance for the control  
 group, respectively,  $F(1, 14) = 6.22, p = .026$  (PD-off group),  
 and  $F(1, 14) = 2.92, p = .11$  (control group).

The response switching cost differed for the control group  
 and the PD-off group,  $F(1, 28) = 5.16, p = .03$ , due primar-  
 ily to a highly significant effect in the comparison of no  
 switch trials to response switch trials in the PD group,  
 $F(1, 14) = 11.57, p = .004$ . Further analysis of the response  
 switch  $\times$  cognitive switch interaction in the control group  
 versus PD-off comparison revealed a significant two-way  
 interaction for the two groups combined,  $F(1, 28) = 5.936,$   
 $p = .02$ . As can be seen in Fig. 2, task switch costs are larger  
 in the same response compared to different response trials for  
 both the controls and the PD-off groups. Given the interaction  
 was not significant for the controls versus PD-on comparison,  
 it is parsimonious to conclude that the response-repetition ef-  
 fects emerge primarily due to the depletion of dopamine in the  
 PD-off group, as will become more obvious in the following  
 results section.

3.2. PD patients ‘on’ versus ‘off’ states

The ‘on’ versus ‘off’ medication comparison for the PD  
 group was highly significant when all trial types were con-  
 sidered together,  $F(3, 42) = 4.78, p = .006$ . As can be seen by  
 comparing the PD-off versus PD-on data presented in Table 3,  
 all switch types (response switch, cognitive switch, and both  
 switch) were influenced by medication state. The interaction  
 between on–off states and trial type was marginally signifi-  
 cant when all trial types were considered,  $F(3, 42) = 2.514,$   
 $p = .07$ . When analyzed as a  $2 \times 2$  factorial of response  
 switch  $\times$  cognitive switch, a highly-significant interaction of  
 switch type was found across the on and off states combined,  
 $F(1, 14) = 16.32, p = .001$ . This effect further interacted with

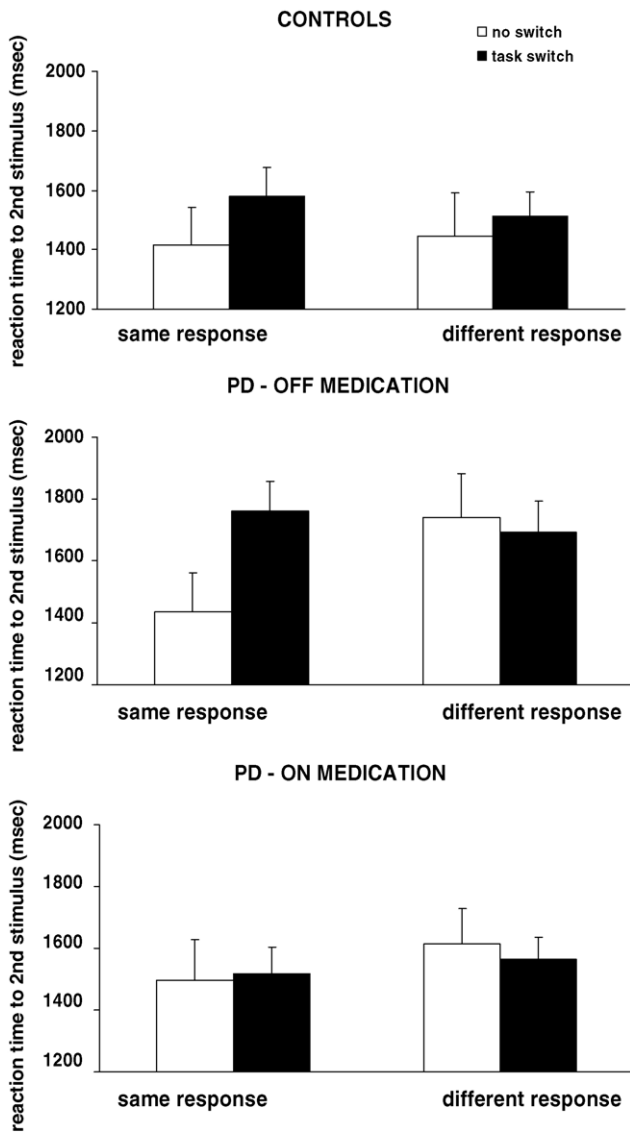


Fig. 2. Mean response times for the response repetition  $\times$  task switching interaction for the control, PD-off, and PD-on groups.

494 the on versus off manipulation,  $F(1, 14) = 3.39, p = .08$ . As  
495 can be seen by viewing Fig. 2, when in the ‘off’ state, PD pa-  
496 tients show severe slowing on cognitive switches compared  
497 to when in the ‘on’ state, but only when responses were the  
498 same on consecutive trials. When responses were different on  
499 consecutive trials, a slightly reversed switch cost was found.

#### 500 4. Discussion

501 The results from this study support the hypothesis that  
502 cognitive switching is impaired in patients with Parkinson’s  
503 disease. In addition, the present findings support the conclu-  
504 sion from earlier work, that switching deficits are ameliorated  
505 significantly by L-dopa administration (Cools et al., 2001a,  
506 2003; Hayes et al., 1998).

507 These findings replicate those of Hayes et al. (1998) on  
508 which the present task was based, with the PD group in the  
509 ‘off’ state producing large cognitive switch costs, and the con-  
510 trol group producing similar, albeit smaller, cognitive switch  
511 costs. The present results also extend findings of switching  
512 deficits to the simple response switch trials that were not as-  
513 sessed in the study by Hayes et al. (1998). Simple response  
514 switch costs were largest in the PD-off group, which sug-  
515 gests a role of dopamine in mediating the movement slowing  
516 associated with these effects.

517 The slight reversal in cognitive switch costs observed in  
518 the PD patients in the on-medication state provides a novel  
519 and interesting data point in the context of task switching.  
520 The increased levels of dopamine in the on-medication state  
521 might actually result in too high a level of response activa-  
522 tion (see Franz & Miller, 2002), thereby not only eliminating  
523 but actually reversing the expected costs in task switching.  
524 Whether or not this converges with evidence demonstrating  
525 a heightened level of impulsivity (Cools et al., 2003) simi-  
526 lar to that found in unmedicated patients with first-episode  
527 schizophrenia (Hutton et al., 2002) remains open to additional  
528 investigation. It therefore remains possible that under some  
529 circumstances, L-dopa administration produces contrasting  
530 influences on cognitive variables associated with task switch-  
531 ing as well.

532 A novel finding was the interaction of response switch-  
533 ing  $\times$  task switching that was largest in the PD-off group. The  
534 relation between dopamine and inhibitory processes is not yet  
535 understood, although dopamine is implicated in processes of  
536 inhibition, given the on- versus off-medication differences  
537 found in a number of studies using tasks that require some  
538 form of inhibition (see Section 1). One hypothesis suggested  
539 by Rogers and Monsell (1995) is that response repetition re-  
540 flects a generalized inhibition that occurs on all activity be-  
541 longing to a task which just received a response. The abolition  
542 of response repetition effects in the PD-on state compared to  
543 the PD-off state in the present study supports this account.  
544 Effects of response repetition have also been reported to be  
545 similar in PD patients (on normal medication) and healthy  
546 controls using other types of paradigms (Filoteo et al., 2002).

547 Earlier studies on the cognitive effects of PD have clearly  
548 shown that these patients are impaired on tasks involving  
549 extradimensional switching especially after interruption of  
550 dopamine medication, although there remains some debate  
551 as to whether this form of switch deficit is due to an in-  
552 ability to inhibit a cognitive set that is no longer necessary,  
553 or to an inability to activate a new set (Gauntlett-Gilbert et  
554 al., 1999; Owen et al., 1993). Notwithstanding this ongoing  
555 debate, there is agreement across studies that deficits in ex-  
556 tradimensional switching are a characteristic of Parkinson’s  
557 disease. Less is known about whether PD patients are im-  
558 paired on switch tasks where no switch between perceptual  
559 dimensions is necessary. Our analysis of response switch tri-  
560 als sheds some light on this issue, given our pure response  
561 switch trials did not involve a switch in the cued perceptual di-  
562 mension. As indicated above, it is clear from our findings that  
563 both cognitive and response switching appear to be dopamine  
564 dependent, given that the switch costs in the patients were  
565 exacerbated in the off-medication state. In addition, the re-  
566 sponse repetition  $\times$  task switching effects were clearly differ-  
567 ent in the PD group when off their normal medication than  
568 when on regular medication, again bolstering the claim that  
569 dopamine levels influence response repetition effects. Given  
570 the primary effect responsible for this interaction is the lack  
571 of a task switching cost on the repeated (same) response tri-  
572 als in the PD group in the on medication state (see Fig. 2),  
573 we can cautiously suggest that administration of L-dopa (‘on’  
574 state) results in a disinhibition of the normal inhibitory pro-  
575 cesses that affect task switches on repeated response trials.  
576 In a similar vein, the loss of dopamine due to PD exacerbates  
577 task-switching costs on repeated response trials beyond the  
578 level seen in normal controls. In addition, the very slight task  
579 switch cost seen in different response trials for the controls  
580 is actually reversed for the PD group (both in the on and  
581 off states), again suggesting a lack of normal inhibition on  
582 responses.

583 An issue that should be mentioned is the possibility that  
584 congruity between the stimulus dimensions and the responses  
585 (in which the stimulus has elements associated with both  
586 possible responses) might differentially influence responses  
587 in the two groups (e.g., Aron et al., 2003b). Although the  
588 present study did not specifically focus on congruity effects,  
589 congruent and incongruent trials were equally probable and  
590 varied randomly with each type of switch trial. Reanalysis of  
591 our data with respect to this factor did not reveal any clear  
592 effects that would differentiate the PD and control groups.

593 In summary, the present findings replicate and extend  
594 those of earlier studies in that PD patients are impaired on  
595 switching tasks, particularly when off their normal medica-  
596 tion cycles. When regular dopamine medication was inter-  
597 rupted temporarily, the patients suffered much worse switch-  
598 ing deficits on both the cognitive switching task (replicating  
599 earlier studies), and the simple version of response switching.  
600 In addition, the interaction of response switching and cogni-  
601 tive switching revealed significant response repetition effects,  
602 particularly for PD patients in the off-medication state. Stud-



ies using other tasks that implicate inhibitory processes have demonstrated evidence in support of abnormal response inhibition in PD (e.g., Filoteo et al., 2002; Franz & Miller, 2002) and Huntington's disease (Aron et al., 2003a) patients. It is therefore possible that general deficits in activation and inhibition that are associated with Parkinson's disease and depleted levels of dopamine, underlie both response switching and cognitive switching deficits in the patients. In sum, the present findings support the conclusion that switching operations are dopamine-dependent and rely on the integrity of the basal ganglia.

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